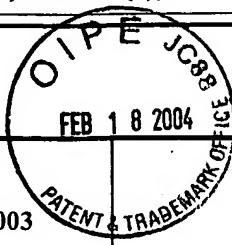


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
03226/351001

In Re Application Of: **Bryan M. CANTRILL**



Serial No.
10/713,652

Filing Date
November 14, 2003

Examiner

Group Art Unit

**Title: MECHANISM FOR LOSSLESS, LOCK-FREE BUFFER SWITCHING IN AN ARBITRARY-
CONTEXT TRACING FRAMEWORK**

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
 - the statement specified in 37 CFR 1.97(e);

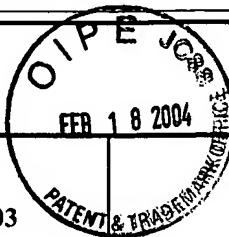
OR

- the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
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**MECHANISM FOR LOSSLESS, LOCK-FREE BUFFER SWITCHING IN AN ARBITRARY-
CONTEXT TRACING FRAMEWORK**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of _____ is attached.

The Director is hereby authorized to charge and credit Deposit Account No. **50-0591** as described below.

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Signature

Dated: **2/12/04**

Jonathan P. Osha, Reg. No. 33,986

ROSENTHAL & OSHA L.L.P.

1221 McKinney Street, Suite 2800

Houston, Texas 77010

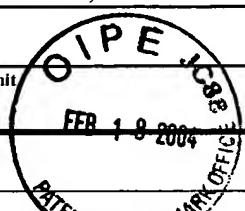
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INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional) 03226/351001		Application Number 10/713,652
Applicant(s) Bryan M. CANTRILL		
Filing Date November 14, 2003	Group Art Unit	



*EXAMINER INITIAL	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
A1	Tamches, Ariel and Miller, Barton P. Fine-Grained Dynamic Instrumentation of Commodity Operating System Kernels. [Online] http://www.usenix.org/publications/library/proceedings/osdi99/full_papers/tamches/tamches.pdf , Published February 1999.	
A2	Murayama, John. Performance Profiling Using TNF. [Online] http://developers.sun.com/solaris/articles/tnf.html , July 2001.	
A3	Lorch, Jacob R. and Smith, Alan J. The VTrace Tool: Building a system Tracer for Windows NT and Windows 2000. [Online] http://msdn.microsoft.com/msdnmag/issues/1000/VTtrace/default.aspx , October 2000.	
A4	Chapter 10. The Generalized Trace Facility (GTF). [Online] http://publib.boulder.ibm.com/cgi-bin/bookmgr/BOOKS/IEA2V100/CCONTENTS , January 2001.	
A5	Mirgorodskii, Alex. The Path to Portable Kernel Instrumentation: The Kerninst API. http://www.dyninst.org/mtg2003/slides/KerninstAPI.pdf , April 2003.	
A6	Richard Moore, et al. IBM Dynamic Probes http://www-124.ibm.com/developerworksopensource/linux/projects/dprobes/README , 2000	
A7	K. Yaghmour et al.; "Measuring and Characterizing System Behavior Using Kernel-Level Event Logging"; Proceeding of the 2000 USENIX Annual Technical Conference; June 18-23, 2000, San Diego, California, USA	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.